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**Application Number** 

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INFORMATION DISCLOSURE				First 1	First Named Inventor J. David SCHAFFER						
STATEMENT BY APPLICANT			Art Ur	Art Unit							
( NOT TOF S	Submi	ission under 37 CFR	1.99)	Exam	iner Na	me					
		Attorn	ney Doc	ket Numbe	er	PHUS040120US					
					U.S.	PATENTS					
Examiner Initial*	Cite No	l Patent Number I IIs		Issue C	Name of Pat of cited Docu			ntee or Applicant nent	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		
	1	6260031	B1	2001-07	7-10	Schaffer, e	et al.		all		
	2	6553357	B2	2003-04	1-22	Mathias, et	t al.		all		
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	1	20040058340	A1	2004-03	3-25	Dai, et al.			all		
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	1	200199043	wo		A1	2001-12-27	7	Hitt		all	
	2	1 296 281	EP		A2	2003-03-26	3 1	TRW, Inc.		all	

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		
Filing Date		
First Named Inventor	J. Da	avid SCHAFFER
Art Unit		
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Attorney Docket Number		PHUS040120US3

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	1	AU, W., et al.; A Novel Evolutionary Data Mining Algorithm with Applications to Churn Prediction; 2003; IEEE Trans. on Evolutionary Computation; 7(6)532-544.					
:	2	BANDYOPADHYAY, S., et al.; Incorporating Chromosome Differentiation in Genetic Algorithms; 1998; Information Sciences; 104:293-319.					
	3	CHTIOUI, Y., et al.; Feature Selection by a Genetic Algorithm. Application to Seed Discrimination by Artificial Vision; 1998; J. Sci.Food Agric.; 76:77-86.					
	4	LEMMON, A.R., et al.; The metapopulation genetic algorithm: An efficient solution for the problem of large phylogeny estimation; 2002; PNAS; 99(16)10516-10521.					
	5	BRAZMA, A., et al.; A quick introduction to elements of biology-cells, molecules, genes, functional genomics, microarrays; 2004; http://www.evi.ac.uk/microarray/biology_intro.html					
	6	ESHELMAN, L.J.; The CHC adaptive search algorithm: How to Have Safe Search When Engaging in Nontraditional Genetic Recombination; 1991; San Francisco, CA; pp. 265-283.					
	7	MATHIAS, K. E., et al.; Code Compaction Using Genetic Algorithms; 2000; San Francisco, CA; pp. 710-717.					
	8	PETRICOIN, E. F., et al.; Use of Proteomic Patterns in Serum to Identify Ovarian Cancer; 2002; Lancet; 359:572-577.					
	9	VAN'T VEER, L. J., et al.; Gene Expression Profiling Predicts Clinical Outcome of Breast Cancer; 2002; Nature; 415:530-536.					

## **Application Number** Filing Date INFORMATION DISCLOSURE First Named Inventor J. David SCHAFFER STATEMENT BY APPLICANT Art Unit (Not for submission under 37 CFR 1.99) **Examiner Name** Attorney Docket Number PHUS040120US3 10 WHITLEY, D.; A Genetic Algorithm Tutorial; 1994; Statistics and Computing; 4:65-85. If you wish to add additional non-patent literature document citation information please click the Add button **EXAMINER SIGNATURE Examiner Signature Date Considered** \*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). 3 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 4 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 5 Applicant is to place a check mark here if

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number			
Filing Date			
First Named Inventor	J. Da	vid SCHAFFER	
Art Unit			
Examiner Name			
Attorney Docket Number		PHUS040120US3	

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	Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.							
X	None     Non							
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